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FORM PTO-1082

08/29/01

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kazunobu-KUWAZAWA

Serial No: Not assigned

Filed: August 29, 2001

For: SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME

J1033 U.S. PTO  
09/943094  
08/29/01

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

☒ 10 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.☒ 27 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.☐ An assignment of the invention to SEIKO EPSON CORPORATION ☐ is enclosed ☒ will follow.☐ An associate power of attorney ☐ is enclosed ☐ will follow.☐ Applicant claims small entity status. See 37 CFR 1.27.☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.☒ A certified copy of Japanese Patent Application No. 2000-265384 filed September 1, 2000 from which priority is claimed under 35 U.S.C. § 119 is enclosed.☒ IDS enclosed (☒ with 1 reference(s)).☐ Preliminary Amendment is enclosed.☐ Application data sheet is enclosed. See 37 CFR 1.76.☒ Return postcard is enclosed.

## CALCULATION OF FEES

ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	31	-20	11	LG=\$18 SM=\$9	\$18	198	
B	INDEPENDENT CLAIMS FEE*	6	-3	3	LG=\$80 SM=\$40	\$80	240	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							438
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135		\$ 0
E	BASIC FEE					LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355		\$ 710
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 1148
G	ASSIGNMENT RECORDING FEE							\$ 40
	*LIST INDEPENDENT CLAIMS 1, 7, 14, 20, 25 and 28							

☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.☐ Any additional filing fees required under 37 C.F.R. 1.16☐ Any patent application processing fees under 37 C.F.R. 1.17Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By:

Michael Crapenhof  
Registration No. 37,115  
Attorney for Applicant(s)

Date: August 29, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kazunobu KUWAZAWA

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For: SEMICONDUCTOR DEVICE AND METHOD OF  
MANUFACTURING THE SAME

Art Unit: Not assigned

Examiner: Not assigned

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"Express Mail" Mailing Label No. EL 894 944 618 US

Date of Deposit: August 29, 2001

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application ( 27 page(s) of specification; 31 claim(s); 1 page(s) of abstract
- ☒ 10 sheet(s) of formal drawings
- ☒ certified copy of Japanese patent application No. 2000-265384 which was filed September 1, 2000 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ Information Disclosure Statement with 1 reference
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231.

Date: August 29, 2001

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Emily Cruz

Name of person mailing papers

Signature